PTO/SB/08a (08-03.)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10579936		
INFORMATION BIOGRAPHIC	Filing Date		2004-11-19		
INFORMATION DISCLOSURE	First Named Inventor	Junji ⁻	Takeda		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit				
(Notice Submission under or or it i.o.)	Examiner Name		-		
	Attorney Docket Numb	er	710048.401USPC		

				U	ı.S.	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date		Name of Pat of cited Docu	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
	1										
If you wisl	h to ac	dd additional U.S. Pater	nt citatio	n informatio	n pl	lease click the	Add button.		Add		
			U.S.P	ATENT AP	PLI	CATION PUB	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	I	Name of Pat of cited Docu	Releva	ges,Columns,Lines where evant Passages or Relevant ures Appear			
	1										
If you wish	h to ac	dd additional U.S. Publi	shed Ap	plication cit	atio	n information	please click the Add	d button	Add		\neg
			<u> </u>	FOREIGN	PAT	TENT DOCUM	TENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		nd ode4	Publication Date	Name of Patented Applicant of cited Document	e or V	Pages,Colur where Relev Passages or Figures App	vant r Relevant	T 5
	1	EP 0 759 471	EP	A1		1997-02-26	Shimada et al.				
	2	WO 88/01646	wo	A1		1988-03-10	Kozlowski et al.				
	3	WO 88/03169	wo	A1		1988-05-05	Boeke et al.				

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10579936
Filing Date		2004-11-19
First Named Inventor	Junji	Takeda
Art Unit		
Examiner Name		
Attorney Docket Number		710048.401USPC

16	l. 4	1.11 -	1141 I. F	D.	4 4 D						1	1: -1 - 4	U A -I	-1 1 44	Add	1			
If you wis	n to ac	ad add	ditional F	oreign Pa										a buttor	'	_			
					NOI	N-PA1	TENT	T LITE	ERAT	URE [OCUN	MENTS			Remo	ve			
Examiner Initials*	(hook magazine journal cerial cymnocium catalog etc) date nagec(c) yolume-iccue number(c)								T 5										
Christy et al., "Functional Analysis of the Long Terminal Repeats of Intracisternal A-Particle Genes: Sequences within the U3 Region Determine Both the Efficiency and Direction of Promoter Activity," Molecular and Cellular Biology 8 (3):1093-1102, March 1988																			
Dupressoir et al., "Germ Line-Specific Expression of Intracistemal A-Particle Retrotransposons in Transgenic Mice," Molecular and Cellular Biology 16(8):4495-4503, August 1996																			
	Falzon et al., "A Variant Binding Sequence for Transcripton Factor EBP-80 Confers Increased Promoter Activity on a Retroviral Long Terminal Repeat," J. Biol. Chem. 265(22):13084-13090, August 5, 1990								on a										
	Furter et al., "Sequence variations and promoter activities of long terminal repeats from rat intracisternal A-particles," Biochimica et Biophysica Acta, 1130:213-217, 1992																		
If you wis	h to a	ld add	ditional r	on-paten	literatu	ure do	ocum	nent cit	tation	inform	ation p	lease o	click th	e Add b	outton	Ad	d		
							EXA	MINE	R SI	GNATI	JRE								
Examiner	Examiner Signature Date Considered																		
*EXAMIN citation if																		ıgh a	
¹ See Kind (Standard ST ⁴ Kind of doo English lang	F.3). ³ F cument	or Japa by the a	anese pato appropriat	ent documer e symbols a	its, the in	ndication	on of th	he year	of the	reign of	the Emp	eror mus	st preced	le the ser	ial numbe	r of t	the pat	ent doc	ument.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10579936	
Filing Date		2004-11-19	
First Named Inventor	Junj	i Takeda	
Art Unit			
Examiner Name			
Attorney Docket Number		710048.401USPC	

		CERTIFICATION	STATEMENT							
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OR	!									
	foreign patent of after making rea any individual de	information contained in the information diffice in a counterpart foreign application, ar sonable inquiry, no item of information contesignated in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	nd, to the knowledge of that ained in the information di	ne person signing the certification isclosure statement was known to						
×	See attached cer	rtification statement.								
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewitl	n.							
	None									
	ignature of the ap n of the signature.	SIGNA' plicant or representative is required in accor	—	18. Please see CFR 1.4(d) for the						
Sign	nature	/William T. Christiansen/	Date (YYYY-MM-DD)	2007-03-27						

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration Number

44614

Name/Print

William T. Christiansen, Ph.D.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
 may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
 to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.